



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/751,971	12/29/2000	Dimitrios Papadimitriou	64645-1044

CONFIRMATION NO. 9076

## FORMALITIES LETTER



\*OC000000005750033\*

Sanford E. Warren, Jr.  
Gardere Wynne Sewell LLP  
3000 Thanksgiving Tower  
1601 Elm Street, Suite 3000  
Dallas, TX 75201-4767



Date Mailed: 02/09/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

03/14/2001 WARRHAM1 00000037 09751971

130.00 DP

01 FC:105

*A copy of this notice MUST be returned with the reply.*

A handwritten signature in black ink, appearing to be 'Hren', is written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Attorney Docket No. 64645-1044

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In Re Application: Papadimitriou et al.

Date of Deposit: March 9, 2001

Serial No. 09/751,971

I hereby certify that this document is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Box Missing Parts; Assistant Commissioner for Patents, Washington, D.C. 20231

Filed: December 29, 2000

Art Unit: 2171

Name of Person Signing: Marsha S. Green

Signature: Marsha S. Green

For: Method, Apparatus and System for  
Managing Subscriber Data

BOX MISSING PARTS  
Assistant Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

**RESPONSE TO  
NOTICE TO FILE MISSING PARTS OF APPLICATION**

In response to the Notice to File Missing Parts of Application mailed February 9, 2001, a copy of which is returned herewith, Applicant respectfully submits this response.

**Declaration**

As required by the Notice of Missing Parts of Application, Applicant hereby encloses an executed Declaration.

**Correction to Filing Receipt**

Applicant hereby requests that the typographical error in the first name of the second inventor be corrected as follows: The inventor is presently listed as Dean Kendall Schneyer – his correct name is Sean Kendall Schneyer. Enclosed is a copy of the Filing Receipt with the indicated change.

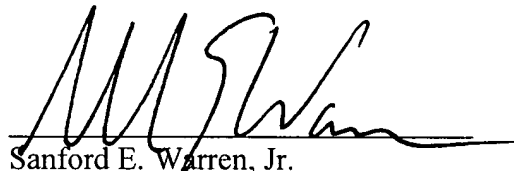
**Required Fees**

Submitted herewith is a check in the amount of \$130.00 to cover the surcharge set forth in 37 C.F.R. 1.16(e).

**Conclusion**

Applicant respectfully submits that all required parts for the application have now been filed. If a check is inadvertently omitted or any amount is incorrect, the Commissioner is hereby authorized to deduct or credit any necessary fee to Gardere Wynne Sewell LLP Deposit Account No. 07-0153.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "SE Warren", written over a horizontal line.

Sanford E. Warren, Jr.  
Registration No. 33,219

Dated: March 9, 2001

GARDERE WYNNE SEWELL LLP  
1601 Elm, Suite 3000  
Dallas, TX 75201  
(214) 999-4339  
(214) 999-3339 (Fax)

ATTORNEY FOR APPLICANT



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/751,971	12/29/2000	2171	1266	64645-1044	9	42	5

CONFIRMATION NO. 9076

## FILING RECEIPT



\*OC00000005750032\*

Sanford E. Warren, Jr.  
Gardere Wynne Sewell LLP  
3000 Thanksgiving Tower  
1601 Elm Street, Suite 3000  
Dallas, TX 75201-4767



Date Mailed: 02/09/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Dimitrios Papadimitriou, Dallas, TX;  
Dean Kendall Schneyer, Richardson, TX;  
*SEAN*

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 02/08/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Method, apparatus and system for managing subscriber data

Preliminary Class

GARDERE WYNNE SEWELL LLP  
FEB 14 2001

**Data entry by : HAILE, TSEHAI**

**Date: 02/09/2001**

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**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231



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attorneys and counselors ■ [www.gardere.com](http://www.gardere.com)



✓ SECTOR  
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Direct Dial: 214-999-4339  
Fax: 214-999-3339  
E-Mail: [swarren@gardere.com](mailto:swarren@gardere.com)

March 9, 2001

**Via U.S. First Class Mail**

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Re: Serial No.: 09/751,971  
Application entitled: "Method, Apparatus and System for Managing Subscriber Data"  
Filing Date: December 29, 2000  
Ericsson No.: P-12654-BMOG  
Our File No.: 64645-1044

Dear Sir:

In regard to the above-referenced matters, enclosed for filing please find the following items:

- (1) Response to Notice to File Missing Parts of Nonprovisional Application;
- (2) Copy of Notice to File Missing Parts of Nonprovisional Application;
- (3) Copy of Filing Receipt;
- (4) Declaration and Power of Attorney;
- (5) Check in the amount of \$130 to cover the fee; and
- (6) Postcard.

Please file the referenced documents and return the date-stamped postcard to our office at the above address. It is believed that no additional fees are due. If this is incorrect, the Commissioner is hereby authorized to charge any fees due which may be required by this paper to Deposit Account No. 07-0153.

In the meantime, if you have any questions or comments concerning this matter, please call the undersigned at your earliest convenience. Otherwise, please accept the enclosed.

Sincerely,

Sanford E. Warren, Jr.  
Reg. No. 33,219  
SEW/mg  
Enclosure  
cc: Daniel Chalker (w/o encl.)

DALLAS 986948v1